

# Results of a Phase 1, open-label, dose-escalation study evaluating the safety and tolerability of EZN-3042, a survivin messenger ribonucleic acid (mRNA) antagonist, administered with or without docetaxel in adult patients with advanced solid tumors or lymphoma

# Background

Survivin, the smallest member of the inhibitors of apoptosis (IAP) gene family, functions as an essential regulator of mitosis and apoptosis as well as a promoter of tumor-associated angiogenesis.<sup>1,2</sup>

Survivin is rarely expressed in most normal adult tissues,<sup>1</sup> but it is preferentially and highly expressed in many types of cancer.<sup>3-5</sup> Overexpression of survivin in many cancers is correlated with an increased rate of disease recurrence and reduced survival.<sup>6,7</sup> Increased survivin expression also is associated with increased risk of metastasis, depth of invasion, and locoregional occurrence.<sup>7</sup> Down-regulation of survivin may have broad therapeutic application.

The survivin mRNA antagonist, designated EZN-3042, is a potent locked nucleic acid (LNA) antisense oligonucleotide that specifically down-modulates survivin mRNA and protein.<sup>8-10</sup> The oligonucleotide EZN-3042 is composed of 16 monomeric units, a 16-mer, of which 7 DNA nucleotides are replaced with LNA nucleotides. The sequence of EZN-3042 is 5°CTCAatccatggCAGc-3°. Capital letters denote LNA monomers, and lowercase letters denote DNA monomers.

When transfected into tumor cells, the survivin antagonist was a highly potent inhibitor of survivin expression in vitro (IC<sub>50</sub> <5 nM).<sup>8,10</sup> Preclinically, EZN-3042 enhanced apoptotic and antitumor effects of taxanes.<sup>9,10</sup> When EZN-3042 was tested in combination with subtherapeutic doses of paclitaxel in xenograft models of human lung cancer, EZN-3042 significantly enhanced apoptotic and antitumor effects of taxanes.<sup>9,10</sup> EZN-3042 potentiated the effect of chemotherapy and resulted in a decrease in tumor progression in mouse primary xenograft model of relapsed acute lymphoblastic leukemia, and in combination with chemotherapy sensitized drug-resistant cells to chemotherapeutic regimens preclinically.<sup>11,12</sup>

#### Single-Agent EZN-3042 Results

Single agent results were previously reported.<sup>13</sup>

EZN-3042 was generally well tolerated in 24 previously treated patients with advanced malignancies.

Dose-limiting toxicity (DLT), was observed in 3 patients who had received EZN-3042 at a dose of 8 mg/kg: reversible Grade 3 increased aspartate or alanine aminotransferase (AST or ALT).

The MTD for single-agent EZN-3042 was 6.5 mg/kg.

The most commonly reported AEs (>4 patients), regardless of relationship to study drug, were fatigue (11 patients); tumor pain and increased AST (10 patients each); increased ALT (9 patients); anorexia (7 patients); and diarrhea, nausea, and rash (5 patients each).

The best response for single-agent EZN-3042 was stable disease in 5 patients.

Both the drug concentration-time curve (AUC) and the maximum drug concentration ( $C_{max}$ ) increased in an approximately dose-proportional manner. There appeared to be little or no accumulation of drug during the fourth week through Day 28. The median elimination half-life of EZN-3042 was approximately 1.85 hours (range = 1.30–5.00 hours), the mean volume of distribution of the central compartment was 12.95 L (range = 6.38–18.99 L), and the mean clearance was 5.1 L/h (1.6–6.9 L/h).

# **Clinical Study**

### Study Design

• Combination after single agent (CASA) modified Fibonacci dose-escalation (Figure 1)

- Allows one to follow safety and efficacy for the same patient as he/she progresses from single-agent to combination treatment. After determination of the single agent MTD, patients could be treated directly with the combination treatment
- Independent dose escalation (3 + 3) for single agent and for combination; dose escalation based on toxicities observed during Cycle 1 of single agent or combination, respectively.

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# **Clinical Study (continued)**

		FZN-3042 weekly
Patients with	EZN-3042 weekly	+
Advanced Solid		Docetaxel q3w
Tumors or		$\rightarrow$
Lymphoma	until disease progression	Evaluation g6w
		until disease progression

- EZN-3042 2-hour intravenous (i.v.) infusion weekly
- Docetaxel (Taxotere<sup>®</sup>) 75 mg/m<sup>2</sup> i.v. infusion every 3 weeks
- Objective tumor response assessed every 6 weeks using the Response Evaluation Criteria in Solid Tumors (RECIST)
- Continue until progressive disease (PD) or unacceptable toxicity

### Objectives

### **Primary Objectives**

- Determine the maximum tolerated dose (MTD)
- Determine the recommended Phase 2 dose
- EZN-3042 administered as a single agent
- EZN-3042 administered in combination with docetaxel

### Secondary Objectives

- Evaluate safety and tolerability of each regimen
- Determine the pharmacokinetic (PK) profile
- Evaluate the pharmacodynamic profile of EZN-3042
- Detect preliminary evidence of antitumor activity

### Methods

- Plasma concentrations of EZN-3042 and docetaxel determined by immunoassay using hybridization of capture and detection oligonucleotides; lower limit of quantitation = 0.25 ng/mL
- PK parameters estimated using a Bayesian approach (Monolix Version 31s; http://wfn.software.monolix.org)

### Results

### Patient and Treatment Information

### EZN-3042 + Docetaxel

Sixteen patients received the combination regimen (Table 1). The median age was 66 y (range: 44-75 y) (Table 1). Of the 16 patients, 9 (56%) were men and 7 (44%) were women; 15 patients (94%) were white. Fourteen patients (88%) had an ECOG performance status of 0 or 1.

Tumor types included prostate cancer (6 patients); breast cancer (3 patients), pancreatic cancer (2 patients); and NSCLC, leiomyosarcoma, gastric, squamous cell carcinoma of the tongue, and squamous cell carcinoma of unknown primary origin (1 patient each). The 16 patients who completed the study received between 1 and 9 cycles (median = 2).

Ten patients received EZN-3042 + docetaxel after progressing on single agent EZN-3042. Six patients were treated directly with the combination regimen.

Table 1. EZN-3042 + Docetaxel: Demographics and ECOG Performance Status					
	Cohort 1C 2.5 mg/kg EZN-3042 + Docetaxel	Cohort 2C 5 mg/kg EZN-3042 + Docetaxel	Cohort 2C-1 6.5 mg/kg EZN-3042 + Docetaxel	All Patients n (%)	
Patients treated	7	3	6	16	
Age, years Median Range	69 57-75	54 44-55	67 56-73	66 44-75	
Sex, n					
Male	6	0	3	9	
Female	1	3	3	7	
ECOG performance status, n					
0	3	0	3	6	
1	4	1	3	8	
2	0	2	0	2	

# **Results (continued)**

### **Safety and Tolerability**

EZN-3042+ Docetaxel

### Adverse events

Fifteen of the 16 patients (94%) had at least one treatment-emergent AE. Most AEs were Grade 1 or 2. The most commonly reported AEs (>2 patients), regardless of relationship to study treatment, were fatigue (10 patients); neutropenia (8 patients); peripheral sensory neuropathy, alopecia (6 patients each); nausea, vomiting, constipation, anorexia, and tumor pain (5 patients each); and leukopenia, diarrhea, mucosal inflammation, cough, and dyspnea (3) patients each).

Thirteen patients (81%) who received combination treatment had Grade 3/4 AEs regardless of relationship to study treatment, the most common (>1 patient) of which were neutropenia (8 patients), leukopenia (3 patients), and peripheral sensory neuropathy (2 patients). Two patients in the 6.5 mg/kg EZN-3042 + docetaxel cohort experienced Grade 4 AEs not related to study treatment. One patient had Grade 4 neutropenia and another patient had Grade 4 obstructive airway disorder. Both of these events resolved. One patient (5 mg/kg EZN-3042 + docetaxel) died due to PD within 30 days of last dose.

### Treatment-related adverse events

Thirteen of 16 patients (81%) had at least one treatment-related AE, most of which were Grade 1 or 2. The most frequently reported treatment-related AEs (in > 2) patients) were fatigue (9 patients), alopecia (6 patients), neutropenia (5 patients), nausea, anorexia, and peripheral sensory neuropathy (4 patients each), and leukopenia (3 patients) (Table 2).

Eight patients (50%) had treatment-related Grade 3/4 AEs: neutropenia (5 patients), leukopenia (3 patients), increased ALT and increased AST (1 patient each), all of which resolved. Peripheral sensory neuropathy (2 patients) and Grade 4 Guillain-Barre syndrome (1 patient) remain unresolved.

### Dose-limiting toxicity

Grade 3 neutropenic fever was observed in 1 patient who received EZN-3042 (2.5 mg/kg) + docetaxel; the event resolved within 7 days of onset. Another DLT of Grade 3 AST/ALT was observed in 1 patient who received EZN-3042 (6.5 mg/kg) + docetaxel; the events resolved within 30 days of onset. The maximum tolerated dose (MTD) was 6.5 mg/kg EZN-3042 + docetaxel. The dose of 6.5 mg/kg, which was determined to be the MTD for EZN-3042 as a single agent, was the highest dose evaluated in combination with docetaxel.

Table 2. EZN-3042 + Docetaxel: Treatment-Related Adverse Events Reported for > 1 Patient					
	Cohort 1C	Cohort 2C	Cohort 2C-1	All	
	2.5 mg/kg EZN-3042	5 mg/kg EZN-3042	6.5 mg/kg EZN-3042	Patients	
	+ Docetaxel	+ Docetaxel	+ Docetaxel	n (%)	
Patients treated	7	3	6	16	
Patients with $\geq 1$ treatment-related AE	6	3	4	13 (81)	
Fatigue	5	2	2	9 (56)	
Alopecia	4	1	1	6 (38)	
Neutropenia	5	0	0	5 (31)	
Nausea	3	0	1	4 (25)	
Anorexia	4	0	0	4 (25)	
<b>Peripheral sensory neuropathy</b>	1	0	3	4 (25)	
Leukopenia	3	0	0	3 (19)	
Vomiting	2	0	0	2 (13)	
Pruritis	1	0	1	2 (13)	
Constipation	1	0	1	2 (13)	
Mucosal inflammation	1	1	0	2 (13)	
ALT increased	1	0	1	2 (13)	

### **Pharmacokinetics**

### EZN-3042 + Docetaxel

Thirteen patients who received EZN-3042 + docetaxel provided data for PK analysis. EZN-3042 was infused over 2 hours and docetaxel was infused over 1 hour. The maximum drug concentration ( $C_{max}$ ) appears to be increasing in an approximately dose-proportional manner and was similar in the single agent and combination cohorts. (Figure 2).

The mean elimination half-life of EZN-3042 in combination with docetaxel was approximately 1.99 hours and similar across the 3 cohorts (range = 1.82–2.07 hours). The mean volume of distribution of the central compartment was 12.91 L (range = 9.25 - 15.07 L) and the mean clearance was 4.60 L/h (3.53 - 5.27 L/h).

# **Results (continued)**



### Antitumor Activity

### EZN-3042+ Docetaxel

The best response for EZN-3042 + docetaxel was partial response in 1 patient with prostate cancer (2.5 mg/kg, 124+ days) (Table 3).

Table 3. Best Overall Response							
	Cohort 1C 2.5 mg/kg EZN-3042 + Docetaxel	Cohort 2C 5 mg/kg EZN-3042 + Docetaxel	Cohort 2C-1 6.5 mg/kg EZN-3042 + Docetaxel	All Patients n (%)			
Patients treated	7	3	6	16			
Partial response	1	0	0	1			
Stable disease	3	0	2	5			
<b>Progressive disease</b>	1	2	3	6			
Not evaluated	2	1	1	4			

# Conclusions

EZN-3042 + docetaxel was well tolerated in previously treated patients with advanced malignancies. The MTD for EZN-3042 + docetaxel was 6.5 mg/kg. C<sub>max</sub> appeared to increase in a dose proportional manner. There was one partial response in a patient with prostate cancer after treatment with 2.5 mg/kg EZN-3042 + docetaxel. A window-of-opportunity study of EZN-3042 in combination with chemotherapy is ongoing in pediatric patients with relapsed acute lymphoblastic leukemia.<sup>14</sup>

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EZN-3042 is being developed by Enzon Pharmaceuticals, Inc. under a license with Santaris-Pharma A/S.

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